

HAND
DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Ted S. Yoho Daytime Telephone: _____

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER
2018 (Office Use CRM) 2:05

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: FL District: 03	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? (If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Ted S York

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E (Specify)
		Examples: Mega Corp. Stock																																		
		Simon & Schuster																																		
		ABC Hedge Fund	X																																	
		MURBAN STANLEY IRA																																		
		MURBAN STANLEY SEP IRA																																		
		PUBLICLY TRADED STOCK																																		
		GADESVILLE, FL HOME																																		
		(INVESTMENT PROPERTY)																																		
		LPL FINANCIAL IRA																																		

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Red S. York

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	STREET MOUNT MARKET KEY, FLORIDA, FL HOME (RENTAL PROPERTY)																																			
	SOUTHEAST ADAPTIVE MMA GAINESVILLE, FL COORD (RENTAL PROPERTY)																																			
	NEW YORK LIFE INS (CASH VALUE)																																			
	WELAKA, FL HOME (INVESTMENT PROPERTY)																																			
	MUNICIPAL BUDGET, FL HOME (RENTAL PROPERTY)																																			
	WELAKA, FL HOME (INVESTMENT PROPERTY)																																			
	PURCHASED AS PART OF 1031 EXCHANGE IN OCTOBER 2018																																			

INVESTING "GAINESVILLE, FL V ACANT LOT"

(Purchased in October 2018 - 50%
rental income began in 2019)

SCHEDULE B - TRANSACTIONS

Name:

Ted S. Yoku

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and deduct the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange (1021)			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/1/18		X									
	PUBLICLY TRADED STOCK																	
	10% FINANCIAL LEA (TED)						2/2018											
	(see attachment #4)																	
	SP MOBIL STANLEY LEA (CAROLAN)																	
	(see attachment #5)																	
	GRANDEVILLE, FL VACANT LOT						10/2018											
	(INVESTMENT PROPERTY)																	
	WELAKA, FL HOME						10/2018											
	(INVESTMENT PROPERTY)																	
	MINEAR BLUFF, GA HOME						10/2018											
	(RENTAL PROPERTY)																	

CLIENT STATEMENT | For the Period December 1-31, 2018

STATEMENT FOR:

CAROLYN S YOHO

[REDACTED]

Morgan Stanley Smith Barney LLC. Member SIPC.

#BWNJGWM

CAROLYN S YOHO

[REDACTED]

TOTAL VALUE OF YOUR ACCOUNT (as of 12/31/18)

Includes Accrued Interest

\$100,559.15

Your Financial Advisor

Brian Bradberry

Brian.Bradberry@morganstanley.com

813 663-2065

Your Branch

PO BOX 290157

TAMPA, FL 33687-9802

Telephone: 888-454-0555

Client Service Center (24 Hours a Day; 7 Days a Week): 800-869-3326

Access Your Account Online: www.morganstanley.com/online

Account Summary

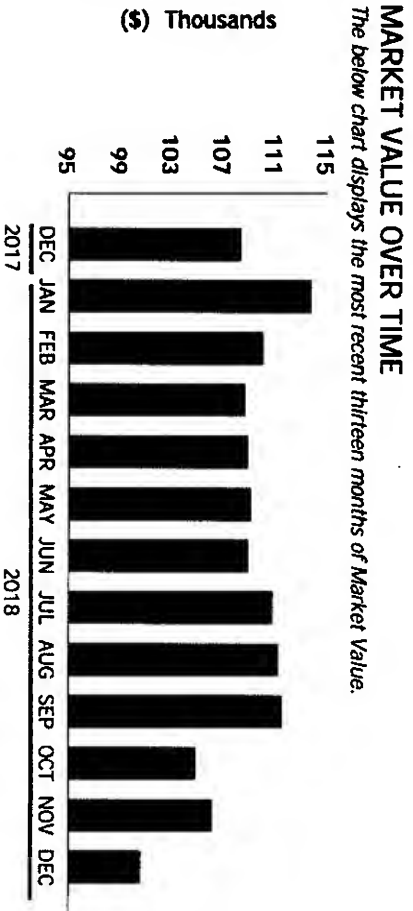
Reithmark Account
ROLLOVER IRA

CAROLYN S YOHO

CHANGE IN VALUE OF YOUR ACCOUNTS (Includes accrued interest)

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
TOTAL BEGINNING VALUE	\$106,120.43	\$108,348.68
Credits	—	—
Debits	16.65	(1,220.14)
Security Transfers	—	—
Net Credits/Debits/Transfers	\$16.65	\$(1,220.14)
Change in Value	(5,577.93)	(6,569.39)
TOTAL ENDING VALUE	\$100,559.15	\$100,559.15

Thousands (\$)

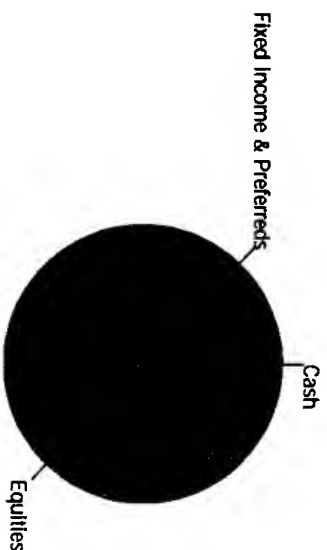


This chart does not reflect corrections to Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value.

ASSET ALLOCATION (Includes accrued interest)

	Market Value	Percentage
Cash	\$16.66	0.02
Equities	74,693.55	74.28
Fixed Income & Preferreds	25,848.94	25.71
TOTAL VALUE	\$100,559.15	100.00%

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

Account Summary

Real Estate Account
CAROLYN S. YOHO
HOLDING FOR

BALANCE SHEET (✓ Includes accrued interest)

	Last Period (as of 11/30/18)	This Period (as of 12/31/18)
Cash, BDP, MMFs	—	\$16.66
TRAK - Advisory Service	106,120.43	100,542.49
Total Assets	\$106,120.43	\$100,559.15
TOTAL VALUE	\$106,120.43	\$100,559.15

CASH FLOW

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
OPENING CASH, BDP, MMFs	—	—
Purchases	—	(105,995.97)
Dividend Reinvestments	(7,151.64)	(8,313.56)
Sales and Redemptions	—	107,216.78
Income and Distributions	7,151.65	8,329.55
Total Investment Related Activity	\$0.01	\$1,236.80
Other Debits	16.65	(1,220.14)
Total Cash Related Activity	\$16.65	\$(1,220.14)
CLOSING CASH, BDP, MMFs	\$16.66	\$16.66

INCOME AND DISTRIBUTION SUMMARY

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
Dividends	\$1,300.53	\$2,085.55
Long Term Capital Gains Distributions	5,851.11	6,201.35
Interest	0.01	0.03
TOTAL INCOME AND DISTRIBUTIONS	\$7,151.65	\$8,286.93

GAIN/(LOSS) SUMMARY

	Realized This Period (12/1/18-12/31/18)	Realized This Year (1/1/18-12/31/18)	Unrealized Inception to Date (as of 12/31/18)
TOTAL GAIN/(LOSS)	—	\$8,265.97	\$(12,784.06)

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

BENEFICIARIES (Contact us to update beneficiary information.)

ACCOUNT SUMMARY

CAROLYN S. YOHIO

RETIREMENT RECAP

2018 Fair Market Value (includes accrued interest): \$100,559.15

	2017	2018	Since Inception
Contributions		(year-to-date)	
Individual Deductible	—	—	\$4,000.00
Individual Maximum Contribution Limits - by Social Security Number (Traditional / Roth)			
Under Age 50	5,500.00	5,500.00	Not Applicable
Age 50 and Over	6,500.00	6,500.00	Not Applicable

HISTORICAL CONTRIBUTIONS & DISTRIBUTIONS

	Contributions	Distributions
2013 and Prior	\$4,000.00	\$0.00
2014	0.00	0.00
2015	0.00	0.00
2016	0.00	0.00
2017	0.00	0.00
2018	0.00	0.00
LIFETIME	\$4,000.00	\$0.00

The contribution and distribution transactions reflected above - as well as the Retirement Recap - are based on information you provided and not intended for tax purposes.

"Since Inception" values for Conversion and Recharacterization may display as Rollover for certain transactions. If dashes are displayed under "Since Inception," the distribution detail is not available. Prior year contributions include those made during the prior year and those made in the current year for the prior year. Refer to the Expanded Disclosures for Additional Retirement Account Information.

Redemption Account CAROLYN S YOHIO
 FOLLOWER IRA

Brokerage Account

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	\$16.66	—	\$0.02	0.150
CASH, BDP, AND MMFS	Percentage of Holdings	Market Value	Est Ann Income	
	0.02%	\$16.66	\$0.02	

* Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

Account Detail

CAROLYN S. YOHIO

TRAK - PERSONALIZED INVESTMENT ADVISORY SERVICE

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or contact us. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL) may be shown for certain mutual funds. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement (or your first statement, if you have not yet received one in either of those months) for a description of these status codes. Current Yield is the estimated annual income (EAI) divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside vendor and is not verified by us.

Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places.

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN NEW WORLD FZ (NFTFX)							
Reinvestments	146.114	\$57.160	\$9,754.56	\$8,351.88	\$(1,402.68)		
	3.926		218.61	224.41	5.80		
Total	150.040		9,973.17	8,576.29	(1,396.88)	117.00	1.36
Total Purchases vs Market Value			9,754.56	8,576.29			
Net Value Increase/(Decrease)				(1,178.27)			
GIMA Status: AL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
COLUMBIA DIVIDEND INCOME INST (GSFTX)							
Reinvestments	655.782	19.670	13,817.33	12,899.23	(918.10)		
	44.046		922.99	866.39	(56.60)		
Total	699.828		14,740.32	13,765.62	(974.70)	305.00	2.22
Total Purchases vs Market Value			14,740.32	13,765.62			
Net Value Increase/(Decrease)				(51.71)			
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
HARTFORD INFLATION PLUS I (HPIIX)							
Reinvestments	402.517	10.140	4,258.62	4,081.52	(177.10)		
	16.071		162.48	162.96	0.48		
Total	418.588		4,421.10	4,244.48	(176.62)	—	—
Total Purchases vs Market Value			4,421.10	4,244.48			
Net Value Increase/(Decrease)				(176.62)			
GIMA Status: AL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: FI & Pref							
HARTFORD MIDCAP 1 (HFMIX)							
Reinvestments	105.072	25.190	3,259.33	2,646.77	(612.56)		
	14.018		357.31	353.11	(4.20)		
Total	119.090		3,616.64	2,999.88	(616.76)	—	—
Total Purchases vs Market Value			3,616.64	2,999.88			
Net Value Increase/(Decrease)				(259.45)			

Client Detail

Reference Account
ROLEWERSHINA

CAROLINE S. YOH

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
JOHN HANCOCK US GBL LDPS GW I (USLI)							
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
Purchases	272.346	45.040	13,094.39	12,266.47	(827.92)		
Reinvestments	33.217		1,533.65	1,496.09	(37.56)		
Total	305.563		14,628.04	13,762.56	(865.48)		
Total Purchases vs Market Value			13,094.39	13,762.56			
Net Value Increase/(Decrease)				668.17			
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
LORD ABBETT INCOME F (LAIF)							
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref							
Purchases	3,100.100	2.680	8,680.28	8,308.27	(372.01)		
Reinvestments	88.552		242.54	237.32	(5.22)		
Total	3,188.652		8,922.82	8,545.59	(377.23)		
Total Purchases vs Market Value			8,680.28	8,545.59			
Net Value Increase/(Decrease)				(134.69)			
GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref							
LORD ABBETT SHT DURATION INC F (LDLFX)							
GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref							
Purchases	2,560.975	4.140	10,756.10	10,602.43	(153.67)		
Reinvestments	68.287		284.56	282.71	(1.85)		
Total	2,629.262		11,040.66	10,885.14	(155.52)		
Total Purchases vs Market Value			10,756.10	10,885.14			
Net Value Increase/(Decrease)				129.04			
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref							
MATTHEWS ASIAN JAPAN INV (MJFOX)							
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref							
Purchases	161.657	18.530	3,162.01	2,995.50	(166.51)		
Reinvestments	15.879		338.23	294.23	(44.00)		
Total	177.536		3,500.24	3,289.74	(210.51)		
Total Purchases vs Market Value			3,162.01	3,289.74			
Net Value Increase/(Decrease)				127.73			
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
MSIF INTERNATIONAL EQUITY INST (MSICX)							
GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
Purchases	1,649.910	13.490	28,922.91	22,257.29	(6,665.62)		
Reinvestments	244.586		3,319.47	3,299.47	(20.00)		
Total	1,894.496		32,242.38	25,556.75	(6,685.62)		
Total Purchases vs Market Value			28,922.91	25,556.75			
Net Value Increase/(Decrease)				(3,366.16)			
GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
NEUBERGER BERMAN GENESIS INST (NBGIN)							
GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
Purchases	37.537	47.850	2,116.34	1,796.14	(320.20)		
Reinvestments	4.629		224.33	221.50	(2.83)		
Total	42.166		2,340.67	2,017.64	(323.03)		
Total Purchases vs Market Value			2,116.34	2,017.64			
Net Value Increase/(Decrease)				(98.70)			

Account Detail

Full Account Account
ROBERT E. HUNTER

CAROLYN S. YORHO

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
GIMA Status: AL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
PCIM GMA MID-CAP VALUE Z (SPVZ)							
Purchases	149.291	16.170	3,178.41	2,414.04	(764.37)		
Reinvestments	18.256		302.51	295.20	(7.31)		
Total	167.547		3,480.92	2,709.24	(771.68)	76.00	2.81
Total Purchases vs Market Value			3,178.41	2,709.24	(469.17)		
Net Value Increase/(Decrease)					(469.17)		
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
PUTNAM SHORT DURATION INCOME Y (PSDY)							
Purchases	213.392	10.030	2,146.73	2,140.33	(6.40)		
Reinvestments	3.331		33.50	33.41	(0.09)		
Total	216.723		2,180.23	2,173.73	(6.49)	47.00	2.16
Total Purchases vs Market Value			2,146.73	2,173.73	27.00		
Net Value Increase/(Decrease)					27.00		
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: FI & Pref							
T ROME PRICE OM US SM CP GR EQ (PROSX)							
Purchases	62.033	31.160	2,151.90	1,932.94	(218.96)		
Reinvestments	2.660		87.47	82.89	(4.58)		
Total	64.693		2,239.37	2,015.83	(223.54)	—	—
Total Purchases vs Market Value			2,239.37	2,015.83	(223.54)		
Net Value Increase/(Decrease)					(223.54)		
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
TRAK - PERSONALIZED INVESTMENT ADVISORY SERVICE 99.96%							
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
			\$113,326.56	\$100,542.49	\$(12,784.06)	\$2,089.00	2.08%
TOTAL VALUE	100.00%		\$113,326.56	\$100,559.15	\$(12,784.06)	\$2,089.02	2.08%

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions starting 'Please Provide' or 'Pending Corporate Actions' are not included.

CAROLYN S. YOHIO

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MAFS	\$16.66	—	—	—	—	—
TRAK - Advisory Service	—	\$74,693.55	\$25,848.94	—	—	—
TOTAL ALLOCATION OF ASSETS	\$16.66	\$74,693.55	\$25,848.94	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/3		Dividend	LORD ABBETT SHT DURATION INC F				\$36.36
			DIV PAYMENT				
12/3		Dividend	LORD ABBETT INCOME F				31.72
			DIV PAYMENT				
12/3		Dividend	PUTNAM SHORT DURATION INCOME Y				4.66
			DIV PAYMENT				
12/3		Service Fee Adj	MSIM FUND REBATE				16.65
12/3		Dividend Reinvestment	LORD ABBETT SHT DURATION INC F	REINVESTMENT a/o 11/30/18	8.783	4.1400	(36.36)
12/3		Dividend Reinvestment	LORD ABBETT INCOME F	REINVESTMENT a/o 11/30/18	11.836	2.6800	(31.72)
12/3		Dividend Reinvestment	PUTNAM SHORT DURATION INCOME Y	REINVESTMENT a/o 11/30/18	0.464	10.0500	(4.66)
12/3		Dividend	MATTHEWS ASIAN JAPAN INV				10.22
			DIV PAYMENT				
12/13		LT Cap Gain Distribution	MATTHEWS ASIAN JAPAN INV				112.29
12/13		ST Cap Gain Distribution	MATTHEWS ASIAN JAPAN INV				8.69
12/13		Dividend Reinvestment	MATTHEWS ASIAN JAPAN INV	REINVESTMENT a/o 12/12/18	6.657	19.7100	(131.20)
12/14		Dividend	COLUMBIA DIVIDEND INCOME INST				79.48
			DIV PAYMENT				
12/14		LT Cap Gain Distribution	COLUMBIA DIVIDEND INCOME INST				705.45
12/14		LT Cap Gain Distribution	T ROWE PRICE OM US SM CP GR EO				75.06
12/14		ST Cap Gain Distribution	T ROWE PRICE OM US SM CP GR EO				12.41
12/14		Dividend Reinvestment	COLUMBIA DIVIDEND INCOME INST	REINVESTMENT a/o 12/13/18	37.901	20.7100	(784.93)
12/14		Dividend Reinvestment	T ROWE PRICE OM US SM CP GR EO	REINVESTMENT a/o 12/13/18	2.660	32.8800	(87.47)
12/17		LT Cap Gain Distribution	JOHN HANCOCK US GLB LDRS GW I				1,463.37
12/17		ST Cap Gain Distribution	JOHN HANCOCK US GLB LDRS GW I				70.28
12/17		Dividend Reinvestment	JOHN HANCOCK US GLB LDRS GW I	REINVESTMENT a/o 12/14/18	33.217	46.1700	(1,533.65)
12/18		Dividend	MSIF INTERNATIONAL EQUITY INST				593.25
			DIV PAYMENT				
12/18		Dividend	NEUBERGER BERMAN GENESIS INST				4.94
			DIV PAYMENT				
12/18		LT Cap Gain Distribution	MSIF INTERNATIONAL EQUITY INST				2,682.82
12/18		LT Cap Gain Distribution	HARTFORD MIDCAP I				331.55

Refund Account: CAROLYN S YOH
 Rollover IDA

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Activity	Settlement	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/18	Date	LT Cap Gain Distribution	NEUBERGER BERMAN GENESIS INST				219.39
12/18		ST Cap Gain Distribution	HARTFORD MIDCAP I				25.76
12/18		Dividend Reinvestment	MSIF INTERNATIONAL EQUITY INST	REINVESTMENT a/c 12/17/18	242.134	13.5300	(3,276.07)
12/18		Dividend Reinvestment	HARTFORD MIDCAP I	REINVESTMENT a/c 12/17/18	14.018	25.4900	(357.31)
12/18		Dividend Reinvestment	NEUBERGER BERMAN GENESIS INST	REINVESTMENT a/c 12/17/18	4.629	48.4600	(224.33)
12/19		Dividend	PGIM OMA MID-CAP VALUE Z				67.68
12/19		DIV PAYMENT					
12/19		LT Cap Gain Distribution	PGIM OMA MID-CAP VALUE Z				156.76
12/19		ST Cap Gain Distribution	PGIM OMA MID-CAP VALUE Z				78.07
12/19		Dividend Reinvestment	PGIM OMA MID-CAP VALUE Z	REINVESTMENT a/c 12/18/18	18.256	16.5700	(302.51)
12/24		Dividend	AMERICAN NEW WORLD F2				114.36
12/24		DIV PAYMENT					
12/24		LT Cap Gain Distribution	AMERICAN NEW WORLD F2				104.25
12/24		Dividend Reinvestment	AMERICAN NEW WORLD F2	REINVESTMENT a/c 12/21/18	3.926	55.6800	(218.61)
12/28		Dividend	HARTFORD INFLATION PLUS I				162.48
12/28		DIV PAYMENT					
12/28		LT Cap Gain Distribution	PUTNAM SHORT DURATION INCOME Y				0.17
12/28		ST Cap Gain Distribution	PUTNAM SHORT DURATION INCOME Y				0.17
12/28		Dividend Reinvestment	HARTFORD INFLATION PLUS I	REINVESTMENT a/c 12/27/18	16.071	10.1100	(162.48)
12/28		Dividend Reinvestment	PUTNAM SHORT DURATION INCOME Y	REINVESTMENT a/c 12/27/18	0.034	10.0300	(0.34)
12/31		Interest Income	MORGAN STANLEY BANK N.A.	(Period 12/01-12/31)			0.01
NET CREDITS/(DEBITS)							\$16.66

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity	Activity Type	Description	Credits/(Debits)
12/4	Automatic Investment	BANK DEPOSIT PROGRAM	\$16.65
12/31	Automatic Investment	BANK DEPOSIT PROGRAM	0.01
NET ACTIVITY FOR PERIOD			\$16.66

MESSAGES

Senior Investor Helpline
 For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

STATEMENT FOR:

CAROLYN S YOHO

[REDACTED]

Morgan Stanley Smith Barney LLC. Member SIPC.

#BWNJGWM

CAROLYN S YOHO

[REDACTED]

[REDACTED]

TOTAL VALUE OF YOUR ACCOUNT (as of 12/31/18)
Includes Accrued Interest

\$37,796.90

Your Financial Advisor

Brian Bradberry

Brian.Bradberry@morganstanley.com

813 663-2065

Your Branch

PO BOX 290157

TAMPA, FL 33687-9802

Telephone: 888-454-0555

Client Service Center (24 Hours a Day; 7 Days a Week): 800-869-3326

Access Your Account Online: www.morganstanley.com/online

Account Summary

Retirement Account
CAROLYN S YOHIO
SEP 18

CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
TOTAL BEGINNING VALUE	\$39,898.44	\$41,278.86
Credits	—	—
Debits	—	(519.37)
Security Transfers	—	—
Net Credits/Debits/Transfers	—	\$(519.37)
Change In Value	(2,101.54)	(2,962.59)
TOTAL ENDING VALUE	\$37,796.90	\$37,796.90

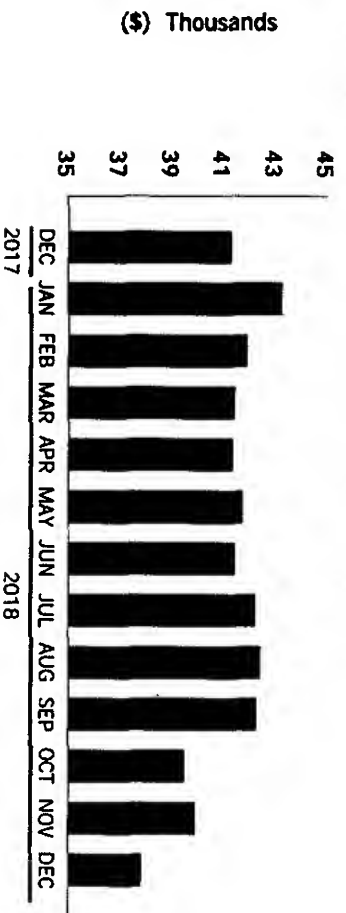
ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Equities	\$27,845.13	73.67
Fixed Income & Preferreds	9,951.77	26.33
TOTAL VALUE	\$37,796.90	100.00%

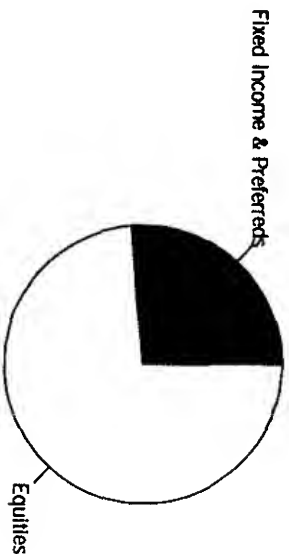
FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value.



This chart does not reflect corrections to Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

Account Summary

Investment Account CAROLYN S YOHIO

BALANCE SHEET (✓ Includes accrued interest)

	Last Period (as of 11/30/18)	This Period (as of 12/31/18)
TRAK - Advisory Service	\$39,898.44	\$37,796.90
Total Assets	\$39,898.44	\$37,796.90
TOTAL VALUE	\$39,898.44	\$37,796.90

CASH FLOW

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
OPENING CASH, BDP, MMFS	—	—
Purchases	—	(40,387.01)
Dividend Reinvestments	(1,211.89)	(1,893.49)
Sales and Redemptions	—	40,900.78
Income and Distributions	1,211.89	1,899.09
Total Investment Related Activity	—	\$519.37
Other Debits	—	(519.37)
Total Cash Related Activity	—	\$(519.37)
CLOSING CASH, BDP, MMFS	—	—

INCOME AND DISTRIBUTION SUMMARY

	This Period (12/1/18-12/31/18)	This Year (1/1/18-12/31/18)
Dividends	\$316.52	\$591.80
Long Term Capital Gains Distributions	895.37	1,286.72
TOTAL INCOME AND DISTRIBUTIONS	\$1,211.89	\$1,878.52

GAIN/(LOSS) SUMMARY

	Realized This Period (12/1/18-12/31/18)	Realized This Year (1/1/18-12/31/18)	Unrealized Inception to Date (as of 12/31/18)
TOTAL GAIN/(LOSS)	—	\$4,299.66	\$(4,281.31)

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

BENEFICIARIES (Contact us to update beneficiary information.)

Account Summary

SEP 10A CAROLYN S YOHIO

RETIREMENT RECAP

2018 Fair Market Value (includes accrued interest): \$37,796.90

	2017	2018 (year-to-date)	Since Inception
Contributions			
Employer	—	—	\$16,170.02
Individual Maximum Contribution Limits - by Social Security Number (Traditional / Roth)			
Under Age 50	5,500.00	5,500.00	Not Applicable
Age 50 and Over	6,500.00	6,500.00	Not Applicable

HISTORICAL CONTRIBUTIONS & DISTRIBUTIONS

	Contributions	Distributions
2013 and Prior	\$16,170.02	\$0.00
2014	0.00	0.00
2015	0.00	0.00
2016	0.00	0.00
2017	0.00	0.00
2018	0.00	0.00
LIFETIME	\$16,170.02	\$0.00

The contribution and distribution transactions reflected above - as well as the Retirement Recap - are based on information you provided and not intended for tax purposes.

"Since Inception" values for Conversion and Recharacterization may display as Rollover for certain transactions. If dashes are displayed under "Since Inception," the distribution detail is not available. Prior year contributions include those made during the prior year and those made in the current year for the prior year. Refer to the Expanded Disclosures for Additional Retirement Account Information.

Brokerage Account

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

Total Purchases vs. Market Value is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

**Cumulative Cash Distributions* when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.*

¹ "Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or contact us. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL) may be shown for certain mutual funds. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement (or your first statement, if you have not yet received one in either of those months) for a description of these status codes. Current Yield is the estimated annual income (EAI) divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside vendor and is not verified by us.

Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN FUNDAMENTAL INV F2 (F1NF)							
Reinvestments							
	Purchases	87.315	\$5,271.18	\$4,563.96	\$(707.22)		
		9.604	500.91	502.00	1.09		
	Total	96.919	5,772.09	5,065.96	(706.13)	74.00	1.46
Total Purchases vs Market Value			5,271.18	5,065.96			
Net Value Increase/(Decrease)				(205.22)			
GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities							
AMERICAN NEW WORLD F2 (NFFFO)	Purchases	55.354	3,695.41	3,164.04	(531.37)		
Reinvestments		1.487	82.83	85.00	2.17		

GIMA Status: AL - Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities

AMERICAN NEW WORLD F2 (NFFFX)

Reinvestments

Total Purchases vs Market Value
Net Value Increase/(Decrease)

CLIMA Status: AL: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities

AL; Enrolled in MS Dividend Reim

Account Detail

Investment Account
SEP 100A

CAROLYN S YOHIO

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
BARON GROWTH INSTITUTIONAL (BGRD)							
Reinvestments	11.686	63.170	816.58	738.20	(78.38)		
	0.837		59.93	52.87	(7.06)		
Total	12.523		876.51	791.08	(85.44)		
Total Purchases vs Market Value			816.58	791.08	(25.50)		
Net Value Increase/(Decrease)							
<i>GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities</i>							
BLACKROCK INFLAT PROT BOND I (BPRIX)							
Reinvestments	149.073	10.230	1,645.60	1,525.01	(120.59)		
	11.153		117.60	114.10	(3.50)		
Total	160.226		1,763.20	1,639.11	(124.09)	45.00	2.75
Total Purchases vs Market Value			1,645.60	1,639.11	(6.49)		
Net Value Increase/(Decrease)							
<i>GIMA Status: AI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref</i>							
BLACKROCK LOW DUR BD INV INST (BPLMS)							
Reinvestments	435.852	9.450	4,149.29	4,118.81	(30.48)		
	7.353		69.65	69.49	(0.16)		
Total	443.205		4,218.94	4,188.29	(30.64)	103.00	2.46
Total Purchases vs Market Value			4,149.29	4,188.29	39.00		
Net Value Increase/(Decrease)							
<i>GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI & Pref</i>							
HARTFORD MIDCAP I (HFMAN)							
Reinvestments	39.196	25.190	1,215.86	987.35	(228.51)		
	5.229		133.29	131.12	(1.57)		
Total	44.425		1,349.15	1,119.07	(230.08)		
Total Purchases vs Market Value			1,215.86	1,119.07	(96.79)		
Net Value Increase/(Decrease)							
<i>GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities</i>							
JOHN HANCOCK INTL GROWTH I (JGGIN)							
Reinvestments	388.514	23.690	10,890.05	9,203.90	(1,686.15)		
	11.050		267.31	261.77	(5.54)		
Total	399.564		11,157.36	9,465.67	(1,691.69)	92.00	0.97
Total Purchases vs Market Value			10,890.05	9,465.67	(1,424.38)		
Net Value Increase/(Decrease)							
<i>GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities</i>							
MATTHEWS ASIAN JAPAN INV (MJFOX)							
Reinvestments	61.701	18.530	1,206.87	1,143.32	(63.55)		
	6.025		128.31	111.65	(16.66)		
Total	67.726		1,335.18	1,254.96	(80.21)	4.00	0.32
Total Purchases vs Market Value			1,206.87	1,254.96	48.09		
Net Value Increase/(Decrease)							

GIMA Status: FI: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Equities

Morgan Stanley

Account Detail

Individual Account
SEP IRA

CAROLYN SYOHO

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
NEUBERGER BERMAN GENESIS INST (NBGD)							
Reinvestments	8.141	47.850	479.04	389.55	(89.49)		
	7.805		429.92	373.47	(56.45)		
Total Purchases vs Market Value	15.946		908.96	763.02	(145.94)	2.00	0.26
Cumulative Cash Distributions			479.04	763.02			
Net Value Increase/(Decrease)				105.58			
				389.56			
GIMA Status: AL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
PGIM GMA MID-CAP VALUE Z (SPVZ)							
Reinvestments	57.054	16.170	1,214.69	922.57	(292.12)		
	6.978		115.61	112.83	(2.78)		
Total Purchases vs Market Value	64.032		1,330.30	1,035.40	(294.90)	29.00	2.80
Net Value Increase/(Decrease)			1,214.69	1,035.40			
				(179.29)			
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
PIONEER FUNDAMENTAL GROWTH Y (FUMNY)							
Reinvestments	225.518	21.330	5,035.81	4,810.30	(225.51)		
	13.626		311.21	290.64	(20.57)		
Total Purchases vs Market Value	239.144		5,347.02	5,100.94	(246.08)	33.00	0.65
Net Value Increase/(Decrease)			5,035.81	5,100.94			
				65.13			
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: Equities							
PUTNAM SHORT DURATION INCOME Y (PSDXY)							
Reinvestments	81.888	10.030	823.79	821.33	(2.46)		
	1.260		12.68	12.64	(0.04)		
Total Purchases vs Market Value	83.148		836.47	833.97	(2.50)	18.00	2.16
Net Value Increase/(Decrease)			823.79	833.97			
				10.18			
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: FI & Pref							
WESTERN ASSET CORE PLUS BD 1 (MACPX)							
Purchases	285.306	11.210	3,312.41	3,198.28	(114.13)		
Reinvestments	8.218		92.40	92.12	(0.28)		
Total Purchases vs Market Value	293.524		3,404.81	3,290.40	(114.41)	119.00	3.62
Net Value Increase/(Decrease)			3,312.41	3,290.40			
				(22.01)			
GIMA Status: FL: Enrolled in MS Dividend Reinvestment: Capital Gains Reinvest: Asset Class: FI & Pref							
TRAK - PERSONALIZED INVESTMENT ADVISORY SERVICE							
Percentage of Holdings	100.00%		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
			\$42,078.23	\$37,796.90	\$(4,281.31)	\$564.00	1.49%

Morgan Stanley

Account Detail

Retirement Account
SEP IRA
CAROLYN S. YOHIO

Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
100.00%	\$42,078.23	\$37,796.90	\$(4,281.31)	\$564.00	1.49%

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

TRAK - Advisory Service	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
TOTAL ALLOCATION OF ASSETS	—	\$27,845.13	\$9,951.77	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/3		Dividend	WESTERN ASSET CORE PLUS BD I				\$10.87
			DIV PAYMENT				
12/3		Dividend	BLACKROCK LOW DUR BD INV INST				9.21
			DIV PAYMENT				
12/3		Dividend	BLACKROCK INFLAT PROT BOND I				2.30
			DIV PAYMENT				
12/3		Dividend	PUTNAM SHORT DURATION INCOME Y				1.82
			DIV PAYMENT				
12/3		Dividend Reinvestment	WESTERN ASSET CORE PLUS BD I	REINVESTMENT a/o 11/30/18	0.988	11.0000	(10.87)
12/3		Dividend Reinvestment	BLACKROCK LOW DUR BD INV INST	REINVESTMENT a/o 11/30/18	0.977	9.4300	(9.21)
12/3		Dividend Reinvestment	BLACKROCK INFLAT PROT BOND I	REINVESTMENT a/o 11/30/18	0.225	10.2000	(2.30)
12/3		Dividend Reinvestment	PUTNAM SHORT DURATION INCOME Y	REINVESTMENT a/o 11/30/18	0.181	10.0500	(1.82)
12/3		Dividend	MATTHEWS ASIAN JAPAN INV				3.90
			DIV PAYMENT				
12/13		LT Cap Gain Distribution	MATTHEWS ASIAN JAPAN INV				42.84
12/13		ST Cap Gain Distribution	MATTHEWS ASIAN JAPAN INV				3.32
12/13		Dividend Reinvestment	MATTHEWS ASIAN JAPAN INV	REINVESTMENT a/o 12/12/18	2.540	19.7100	(50.06)
12/17		Dividend	JOHN HANCOCK INTL GROWTH I				89.80
			DIV PAYMENT				
12/17		LT Cap Gain Distribution	JOHN HANCOCK INTL GROWTH I				172.91
12/17		ST Cap Gain Distribution	JOHN HANCOCK INTL GROWTH I				4.60
12/17		Dividend Reinvestment	JOHN HANCOCK INTL GROWTH I	REINVESTMENT a/o 12/14/18	11.050	24.1900	(267.31)

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/18		Dividend	NEUBERGER BERMAN GENESIS INST		1.87		
			DIV PAYMENT				
12/18		LT Cap Gain Distribution	HARTFORD MIDCAP I				123.68
12/18		LT Cap Gain Distribution	NEUBERGER BERMAN GENESIS INST				82.96
12/18		ST Cap Gain Distribution	HARTFORD MIDCAP I				9.61
12/18		Dividend Reinvestment	HARTFORD MIDCAP I	REINVESTMENT a/c 12/17/18	5.229	25.4900	(133.29)
12/18		Dividend Reinvestment	NEUBERGER BERMAN GENESIS INST	REINVESTMENT a/c 12/17/18	1.751	48.4600	(84.83)
12/19		Dividend	PGIM OMA MID-CAP VALUE Z				25.86
			DIV PAYMENT				
12/19		LT Cap Gain Distribution	PGIM OMA MID-CAP VALUE Z				59.91
12/19		ST Cap Gain Distribution	PGIM OMA MID-CAP VALUE Z				29.84
12/19		Dividend Reinvestment	PGIM OMA MID-CAP VALUE Z	REINVESTMENT a/c 12/18/18	6.978	16.5700	(115.61)
12/24		Dividend	AMERICAN FUNDAMENTAL INV F2				47.33
			DIV PAYMENT				
12/24		Dividend	AMERICAN NEW WORLD F2				43.33
			DIV PAYMENT				
12/24		LT Cap Gain Distribution	AMERICAN FUNDAMENTAL INV F2				373.50
12/24		LT Cap Gain Distribution	AMERICAN NEW WORLD F2				39.50
12/24		Dividend Reinvestment	AMERICAN FUNDAMENTAL INV F2	REINVESTMENT a/c 12/21/18	8.350	50.4000	(420.83)
12/24		Dividend Reinvestment	AMERICAN NEW WORLD F2	REINVESTMENT a/c 12/21/18	1.487	55.6800	(82.83)
12/28		Dividend	PIONEER FUNDAMENTAL GROWTH Y				32.79
			DIV PAYMENT				
12/28		LT Cap Gain Distribution	PUTNAM SHORT DURATION INCOME Y				0.07
12/28		ST Cap Gain Distribution	PUTNAM SHORT DURATION INCOME Y				0.07
12/28		Dividend Reinvestment	PIONEER FUNDAMENTAL GROWTH Y	REINVESTMENT a/c 12/27/18	1.547	21.1900	(32.79)
12/28		Dividend Reinvestment	PUTNAM SHORT DURATION INCOME Y	REINVESTMENT a/c 12/27/18	0.014	10.0300	(0.14)
NET CREDITS/(DEBITS)							\$0.00

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MESSAGES

Senior Investor Helpline
For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

4th Quarter 2018 Statement

Values as of December 31, 2018



0002130 02 AV 0.375 02 00009 LPDMD121 500D 83HG 000010
PTC CUST IRA FBO
THEODORE S YOHO
1
GAINESVILLE FL 32606

Your Investment Professional: John "Jay" Murray
(352) 505-8845 | jay.murray@LPL.com
Independent Financial Partners
2622 NW 43rd St Ste B4
Gainesville, FL 32606-7428



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Ted's IRA

1851-2190

Investment Objective
Growth with Income

Value on January 1, 2018

\$47,543.⁷⁵

Value on December 1, 2018

\$46,975.¹⁰

since the last statement

Value on December 31, 2018

\$45,608.⁹⁹

Account Summary

Starting Value of Assets Held at LPL Financial

- Contributions / Inflows
- Distributions / Outflows
- Increase / Decrease in Market Value¹
- Dividends, Interest, Capital Gains
- Fees / Expenses

Total Ending Value (December 31, 2018)

Total Change in Value of Assets Held at LPL Financial

4th Quarter 10/01 - 12/31/2018	Year to Date 01/01 - 12/31/2018
\$48,507.56	\$47,543.75
—	—
—	—
(\$6,248.13)	(\$5,765.72)
\$3,465.59	\$4,346.47
(\$116.03)	(\$515.51)
\$45,608.99	\$45,608.99
(\$2,898.57)	(\$1,934.76)

¹ Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.



Retirement Summary

Retirement Contributions applied to...

Retirement Distributions applied to...

2018

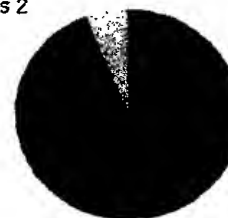
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Asset Allocation As of 12/31/2018

1. Mutual Funds, ETFs and Closed-End Funds	84.23%	\$38,417.20
2. Cash and Cash Equivalents	9.67%	\$4,409.17
3. Equities and Options	6.10%	\$2,782.62
4. Fixed Income	—	—

Equities and Options 3
Cash and Cash
Equivalents 2



Mutual Funds 1



Account Holdings

As of December 31, 2018

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate ³	Current Balance
Cash			\$135.05
Insured Cash Account ⁴ <i>SunTrust Bank</i>			\$4,274.12
Total Insured Cash Account	\$0.11	0.091%	\$4,274.12
Total Cash and Cash Equivalents			\$4,409.17

Equities and Options

Security ID / Description	Quantity	Price (\$)	Market Value (\$)	Estimated Annual Income (\$) ⁵ Est 30-Day Yield ⁶
DLR'H DIGITAL REALTY CUMULATIVE REDEEMABLE SER H PFD 7.375% ^C	110.378	\$25.21	2,782.62	203 7.31%
Total of Equities and Options			2,782.62	203

^C Dividends and/or capital gains distributed by this security will be distributed as cash.

Mutual Funds, ETFs, and Closed-End Funds

Security ID / Description	Quantity	Price (\$)	Market Value (\$)	Estimated Annual Income (\$) ⁵ Est 30-Day Yield ⁶
FTSL FIRST TRUST SENIOR LOAN FUND ETF	75.076	\$45.52	3,417.45	148 4.33%
FFTY INNOVATOR IBD 50 ETF	96	\$27.62	2,651.52	5 0.21%
BSJJ INVESCO BULLETSHARES 2019 HIGH YIELD CORP BOND ETF	100	\$23.64	2,364.00	104 4.44%
GSY INVESCO ULTRA SHORT DURATION ETF	78.788	\$50.07	3,944.91	90 2.29%
XSLV INVESCO S&P SMALLCAP LOW VOLATILITY ETF	80	\$42.64	3,411.20	82 2.43%
EEMV ISHARES EDGE MSCI MIN VOLATILITY EMERGING MARKETS ETF	65	\$55.87	3,631.55	91 2.62%

Mutual Funds, ETFs, and Closed-End Funds continued on next page...

³ Bank Deposit Sweep interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.
⁴ Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.
⁵ Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings *Continued*

As of December 31, 2018

Mutual Funds, ETFs, and Closed-End Funds *Continued*

Security ID / Description	Quantity	Price (\$)	Market Value (\$)	Estimated Annual Income (\$) ^a Est 30-Day Yield ^a
EFAV ISHARES EDGE MSCI MIN VOLATILITY EAFE ETF	50	\$66.66	3,333.00	92 2.77%
USMV ISHARES EDGE MSCI MIN VOLATILITY ETF	75	\$52.40	3,930.00	70 1.79%
LCSIX LOCORR LONG SHORT COMMODITIES STRATEGIES CL I ^c	307.578	\$10.03	3,085.00	— 0.02%
SCHP SCHWAB U S TIPS ETF	100.747	\$53.25	5,364.77	140 2.63%
XLB SECTOR MATERIALS SELECT SECTOR SPDR ETF	65	\$50.52	3,283.80	70 2.14%
Total of Mutual Funds, ETFs, and Closed-End Funds			38,417.20	896

^c Dividends and/or capital gains distributed by this security will be distributed as cash.

Total Account Holdings

Market Value (\$)

Estimated Annual Income (\$)^a

45,608.99

1,099

Activity Summary

	Since last statement 12/01 - 12/31/2018	4th Quarter 10/01 - 12/31/2018	Year to Date 01/01 - 12/31/2018
Securities Purchased	—	—	(\$5,848.16)
Securities Sold	—	—	\$3,430.95
Contributions / Inflows	—	—	—
Distributions / Outflows	—	—	—
Dividends, Interest, & Capital Gains	\$3,365.27	\$3,465.59	\$4,346.47
Fees / Expenses ^b	—	(\$116.03)	(\$515.51)

^a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

^b Fees and expenses include account, custodial and advisory fees assessed during the statement period.

December 1 - December 31, 2018 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
12/03/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$33.17
12/07/2018	Cash Dividend	SCHWAB U S TIPS ETF 120718 100.74700 SCHP	—	\$8.01
12/10/2018	Cash Dividend	LOCORR LONG SHORT COMMODITIES STRATEGIES CL I 120718 307.57800 LCSIX AS OF 12/07/18	—	\$381.29
12/10/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$8.01
12/11/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$381.29
12/21/2018	Cash Dividend	ISHARES EDGE MSCI MIN VOLATILITY ETF 122118 75 USMV	—	\$22.40
12/24/2018	Cash Dividend	ISHARES EDGE MSCI MIN VOLATILITY EAFE ETF 122418 50 EFAV	—	\$38.56
12/24/2018	Cash Dividend	ISHARES EDGE MSCI MIN VOLATILITY EMERGING MARKETS ETF 122418 65 EEMV	—	\$56.02
12/24/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$22.40
12/26/2018	Cash Dividend	SCHWAB U S TIPS ETF 122618 100.74700 SCHP	—	\$19.47
12/26/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$94.58
12/27/2018	Cash Dividend	SECTOR MATERIALS SELECT SECTOR SPDR ETF 122718 65 XLB	—	\$20.71
12/27/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$19.47
12/28/2018	Liquidation	INVESCO BULLETSHARES 2018 HIGH YIELD CORP BOND ETF FLIQ FR INVESCO @ 25.11656659 46138J205	(106.848)	\$2,683.65
12/28/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$20.71
12/31/2018	Cash Dividend	DIGITAL REALTY CUMULATIVE REDEEMABLE SER H PFD 7.375% 123118 110.37800 DLR'H	—	\$50.88

Account Activity continued on next page...

Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.

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Account Activity Continued

December 1 - December 31, 2018 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
12/31/2018	Cash Dividend	FIRST TRUST SENIOR LOAN FUND ETF 123118 75.07600 FTSL	—	\$13.89
12/31/2018	Long-Term Capital Gain	INVESCO ULTRA SHORT DURATION ETF 123118 78.78800 GSY	—	\$0.42
12/31/2018	Cash Dividend	INVESCO ULTRA SHORT DURATION ETF 123118 78.78800 GSY	—	\$12.09
12/31/2018	Cash Dividend	INVESCO BULLETSHARES 2018 HIGH YIELD CORP BOND ETF 123118 106.84800 46138J205	—	\$2.26
12/31/2018	Cash Dividend	INVESCO BULLETSHARES 2019 HIGH YIELD CORP BOND ETF 123118 100 BSJJ	—	\$13.83
12/31/2018	Cash Dividend	INVESCO S&P SMALLCAP LOW VOLATILITY ETF 123118 80 XSLV	—	\$41.68
12/31/2018	Interest	INSURED CASH ACCOUNT 123118 4,274	—	\$0.11
12/31/2018	Sweep (Interest Deposit) ⁶	INSURED CASH ACCOUNT	—	\$0.11
12/31/2018	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	—	\$2,683.65

Dividends, Interests, Capital Gains, and Other Distributions Not Yet Paid

(Transactions are not final and are subject to change)

Closing Date	Transaction Type	Description/Security ID	Record Date	Quantity Rate	Amount of Payment (\$)
01/02/19	Dividend	INNOVATOR IBD 50 ETF FFTY	12/28/18	96 —	—
01/04/19	Dividend	ISHARES EDGE MSCI MIN VOLATILITY ETF USMV	12/31/18	75 \$0.0327	2.45
01/04/19	Dividend	SCHWAB U S TIPS ETF SCHP	12/31/18	100.747 —	—

⁶ Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.

Date	Client Group Name	Symbol / CUSIP	Description	Activity	Quantity	Price	Amount
5/21/2018	YOHO	TED	FFTY	INNOVATOR IBD 50 ETF BUY	96.00	35.56	(3,423.16)
5/21/2018	YOHO	TED	PEY	POWERSHARES HIGH YIELD EQUITY DIVIDEND AC SELL	-200.00	17.20	3,430.95
5/7/2018	YOHO	TED	BSJI	POWERSHARES BULLETSHARES 2019 HIGH YIELD · BUY	100.00	24.16	(2,425.00)

> All Accounts

Learn More

Total Assets
\$154,209.71

Today's Change
-

As of 5/8/2019 8:49 AM ET | Refresh Market Data

Print Download Definitions

Holdings	Activity	Realized Gain / Loss	Portfolio Analytics	Documents
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View Prior Year

All

Deposits, Payments + Cash Transfers

Investment Activity

All Activity

Search

04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	AMERICAN FUNDAMENTAL INV F2 CONFIRM NBR	12.851	60.3700	(-725.80)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	T ROWE PRICE QM US SM CP GR EQ CONFIRM NBR	22.945	34.6900	(-795.95)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	LORD ABBETT INCOME F CONFIRM NBR	347.254	2.8000	(-971.31)
01/18/2018	01/18/2018	TRAK Fund Solu...	Bought	VIRTUS NWFLT MLTSEC SHTM BD I CONFIRM NBR	225.420	4.7600	(-1,073.00)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	HARTFORD MIDCAP I CONFIRM NBR	37.864	31.0200	(-1,174.55)
01/18/2018	01/18/2018	TRAK Fund Solu...	Bought	CAMBIAR INTL EQUITY INV CONFIRM NBR	40.359	29.7000	(-1,198.66)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	PUTNAM SHORT DURATION INCOME Y CONFIRM NBR	119.280	10.0600	(-1,199.96)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	T ROWE PRICE QM US SM CP GR EQ CONFIRM NBR	39.088	34.6900	(-1,355.95)
12/17/2018	12/14/2018	TRAK Fund Solu...	Dividend Reinvestme	JOHN HANCOCK US GLB LDRS GW I REINVESTMENT AS OF DATE: 12/14/2018	31.695	46.1700	(-1,463.37)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	NEUBERGER BERMAN GENESIS INST CONFIRM NBR	29.109	56.3800	(-1,641.16)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	AMERICAN NEW WORLD F2 CONFIRM NBR	29.113	66.7600	(-1,943.59)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	MSIF INTERNATIONAL EQUITY INST CONFIRM NBR	129.624	17.5300	(-2,272.30)
12/18/2018	12/17/2018	TRAK Fund Solu...	Dividend Reinvestme	MSIF INTERNATIONAL EQUITY INST REINVESTMENT AS OF DATE: 12/17/2018	198.287	13.5300	(-2,682.82)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	PRUDENTIAL QMA MID CAP VALUE Z CONFIRM NBR	132.148	21.2900	(-2,813.44)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	AMERICAN NEW WORLD F2 CONFIRM NBR	43.758	66.7600	(-2,921.26)
01/18/2018	01/18/2018	TRAK Fund Solu...	Bought	INVESCO DEVELOPING MARKETS Y CONFIRM NBR	78.197	39.1300	(-3,059.84)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	LORD ABBETT SHT DURATION INC F CONFIRM NBR	739.126	4.2000	(-3,104.33)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	WESTERN ASSET CORE PLUS BD I CONFIRM NBR	268.072	11.6100	(-3,112.32)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	HARTFORD MIDCAP I CONFIRM NBR	105.072	31.0200	(-3,259.33)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	BLACKROCK LOW DUR BD INV INST CONFIRM NBR	367.953	9.5200	(-3,502.91)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	HARTFORD INFLATION PLUS I CONFIRM NBR	393.733	10.5800	(-4,165.69)

04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	AMERICAN FUNDAMENTAL INV F2 CONFIRM NBR	74.464	60.3700	(-4,495.38)
Activity Date	Transaction Date	Account	Activity	Description	Quantity	Price (\$)	Amount (\$)▼
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	LORD ABBETT INCOME F CONFIRM NBR	2,561.232	2.8000	(-7,171.45)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	LORD ABBETT SHT DURATION INC F CONFIRM NBR	1,810.124	4.2000	(-7,602.52)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	AMERICAN NEW WORLD F2 CONFIRM NBR	117.001	66.7600	(-7,810.97)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	JOHN HANCOCK INTL GROWTH I CONFIRM NBR	385.793	28.0300	(-10,813.78)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	COLUMBIA DIVIDEND INCOME INST CONFIRM NBR	631.795	21.0700	(-13,311.93)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	JOHN HANCOCK US GLB LDERS GW I CONFIRM NBR	288.969	48.0800	(-13,893.62)
04/02/2018	04/02/2018	TRAK Fund Solu...	Bought	MSIF INTERNATIONAL EQUITY INST CONFIRM NBR	1,520.286	17.5300	(-26,650.61)

pg 5 of 5

* Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a notional bank and FDIC member. Prior to July 2015, Bank Deposits could also have been held at Citibank, N.A., a national bank and FDIC member. Deposits are eligible for FDIC insurance up to applicable limits and in accordance with FDIC rules. Not SIPC insured.

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Information related to Income, Performance, Tax Lots, Total Cost, Target Asset Allocation, Asset Classification and Gain/Loss may differ from the information provided by your custodian. External information presented herein is subject to, and does not supersede, the confirmations and account statements provided by your custodian. Values shown in an account statement from your custodian may differ from the values shown here due to, among other things, different reporting methods, delays, market conditions and interruptions. If there are discrepancies between your custodian's official account statement and this material, rely on the custodian's official account statement. We are not obligated to notify you or your Financial Advisor/Private Wealth Advisor if information changes. In performance calculations, the inception date referenced will reflect the first date on which Morgan Stanley received account information from the custodian. If information on an External Account cannot be reported, it will be noted.

Assets not custodied with Morgan Stanley are not covered by SIPC protection at Morgan Stanley or by additional protection under Morgan Stanley's excess insurance coverage plans. However, these assets may be subject to SIPC coverage at the entity at which they are custodied.

Account and Position data for Morgan Stanley & Co. and External Accounts is obtained from sources that we believe to be reliable. However, Morgan Stanley Wealth management does not guarantee its accuracy or timeliness as such information may be incomplete, condensed, or based on differing points of time. Please refer to the "Last Update Date" for information regarding when the data was last refreshed. You should not take any action relying upon this information without confirming its accuracy and completeness.

For all accounts, the Activity Date and the Processing Date are displayed. However, for Morgan Stanley and Co. and Externally Held accounts, only the Activity Date is available; therefore, the Processing Date listed for these accounts will be equivalent to the Activity Date. Please rely on the official account statements of your Morgan Stanley & Co. or Externally Held account for the actual Processing Date of any of these assets, and refer to the definitions section for more information on these categories.

The information provided with respect to your employee stock option plan ("ESOP") was obtained from third party sources which Morgan Stanley believes to be reliable. However, we make no representation or guarantee that the information is accurate or complete. There may be other requirements, details, and criteria governing your ESOP. Please refer to the documentation provided by your employer for the terms and conditions governing your ESOP.

"Total Wealth" represents the total value of your aggregated accounts and assets, minus your liabilities, held with Morgan Stanley and at external financial institutions. If you wish to exclude certain accounts, assets or liabilities from the calculation of your Total Wealth, you must do so manually.

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Total Assets

\$154,209.71

Today's Change

-

As of 5/8/2019 8:49 AM ET | Refresh Market Data



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Holdings

Activity

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Portfolio Analytics

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All

Deposits, Payments + Cash Transfers

Investment Activity

All Activity



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Activity Date	Transaction Date	Account	Activity	Description	Quantity	Price (\$)	Amount (\$) 
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	THORNBURG INTL GROWTH I CONFIRM NBR	1,093.134	24.3800	26,650.61
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	BLACKROCK EQUITY DIVIDEND I CONFIRM NBR	637.030	21.8100	13,893.62
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	FIDELITY ADV NEW INSIGHTS I CONFIRM NBR	424.217	31.3800	13,311.93
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	CAMBIAR INTL EQUITY INV CONFIRM NBR	389.967	27.7300	10,813.78
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	PIMCO LOW DURATION P CONFIRM NBR	797.038	9.8000	7,810.97
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO DEVELOPING MARKETS Y CONFIRM NBR	203.657	37.3300	7,602.52
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	METROPOLITAN WEST TOT RET BD I CONFIRM NBR	685.607	10.4600	7,171.45
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	IVY LARGE CAP GROWTH I CONFIRM NBR	226.308	23.2700	5,266.19
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	OAKMARK I CONFIRM NBR	54.949	81.8100	4,495.38
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	JPMORGAN MID CAP VALUE I CONFIRM NBR	108.708	38.3200	4,165.69
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	VIRTUS NWFLT MLTSEC SHTM BD I CONFIRM NBR	743.718	4.7100	3,502.91
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	BLACKROCK INFLAT PROT BOND I CONFIRM NBR	307.484	10.6000	3,259.33
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	AMERICAN BD FD OF AMERICA F2 CONFIRM NBR	246.228	12.6400	3,112.32
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	FIDELITY ADV NEW INSIGHTS I CONFIRM NBR	98.927	31.3800	3,104.33
01/18/2018	01/18/2018	TRAK Fund Solu...	Sold	E V INCOME FUND OF BOSTON I CONFIRM NBR	530.301	5.7700	3,059.84
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO DEVELOPING MARKETS Y CONFIRM NBR	78.255	37.3300	2,921.26
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	AB SMALL CAP GRW ADV CONFIRM NBR	49.083	57.3200	2,813.44
12/18/2018	12/17/2018	TRAK Fund Solu...	LT Cap Gain Distribut	MSIF INTERNATIONAL EQUITY INST			2,682.82
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	BLACKROCK EQUITY DIVIDEND I CONFIRM NBR	104.186	21.8100	2,272.30
01/18/2018	01/18/2018	TRAK Fund Solu...	Sold	OAKMARK I CONFIRM NBR	24.860	89.1000	2,215.00
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO DEVELOPING MARKETS Y CONFIRM NBR	52.065	37.3300	1,943.59

04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	IVY MID CAP GROWTH I CONFIRM NBR	65.203	25.1700	1,641.16
12/17/2018	12/14/2018	TRAK Fund Solu...	LT Cap Gain Distributi	JOHN HANCOCK US GLB LDRS GW I			1,463.37
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	PIMCO SHORT TERM P CONFIRM NBR	137.660	9.8500	1,355.95
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO SMALL CAP VALUE Y CONFIRM NBR	63.557	18.8800	1,199.96
01/18/2018	01/18/2018	TRAK Fund Solu...	Sold	EV INCOME FUND OF BOSTON I CONFIRM NBR	207.740	5.7700	1,198.66
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO AMERICAN VALUE Y CONFIRM NBR	31.736	37.0100	1,174.55
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	PIMCO LOW DURATION P CONFIRM NBR	99.215	9.8000	972.31
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	IVY MID CAP GROWTH I CONFIRM NBR	31.623	25.1700	793.95
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	IVY LARGE CAP GROWTH I CONFIRM NBR	33.339	23.2700	775.80
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	VIRTUS NWFLT MLTSEC SHTM BD I CONFIRM NBR	164.363	4.7100	774.15
12/14/2018	12/13/2018	TRAK Fund Solu...	LT Cap Gain Distributi	COLUMBIA DIVIDEND INCOME INST			705.45
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	ARTISAN MID CAP ADV CONFIRM NBR	16.366	38.9200	636.96
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	OAKMARK I CONFIRM NBR	7.664	81.8100	626.99
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	PIMCO SHORT TERM P CONFIRM NBR	62.443	9.8500	615.06
12/18/2018	12/17/2018	TRAK Fund Solu...	Dividend	MSIF INTERNATIONAL EQUITY INST DIV PAYMENT			593.25
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO AMERICAN VALUE Y CONFIRM NBR	15.610	37.0100	577.73
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	JPMORGAN MID CAP VALUE I CONFIRM NBR	14.001	38.3200	536.52
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	CLEARBRIDGE SMALL CAP GWTH I CONFIRM NBR	14.292	36.2000	511.37
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	BLACKROCK EQUITY DIVIDEND I CONFIRM NBR	23.173	21.8100	505.40
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	PIMCO SHORT TERM P CONFIRM NBR	49.964	9.8500	492.15
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	AB SMALL CAP GRW ADV CONFIRM NBR	8.290	57.3200	475.18
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	MATTHEWS ASIAN JAPAN INV CONFIRM NBR	18.251	24.9100	454.62
12/24/2018	12/21/2018	TRAK Fund Solu...	LT Cap Gain Distributi	AMERICAN FUNDAMENTAL INV F2			373.50
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	BLACKROCK INFLAT PROT BOND I CONFIRM NBR	34.431	10.6000	364.97
02/12/2018	02/09/2018	TRAK Fund Solu...	LT Cap Gain Distributi	FIDELITY ADV NEW INSIGHTS I			350.24
02/09/2018	02/09/2018	TRAK Fund Solu...	Sold	BLACKROCK EQUITY DIVIDEND I CONFIRM NBR	15.086	22.2800	336.12
12/18/2018	12/17/2018	TRAK Fund Solu...	LT Cap Gain Distributi	HARTFORD MIDCAP I			331.55
05/09/2018	05/09/2018	TRAK Fund Solu...	Sold	JOHN HANCOCK US GLB LDRS GW I CONFIRM NBR	6.269	50.9200	319.20
08/09/2018	08/09/2018	TRAK Fund Solu...	Sold	JOHN HANCOCK US GLB LDRS GW I CONFIRM NBR	5.352	54.3100	290.68
11/08/2018	11/08/2018	TRAK Fund Solu...	Sold	JOHN HANCOCK US GLB LDRS GW I CONFIRM NBR	5.002	54.9400	274.81
11/30/2018	11/29/2018	TRAK Fund Solu...	LT Cap Gain Distributi	PIONEER FUNDAMENTAL GROWTH Y			272.58
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	NEUBERGER BERMAN GENESIS INST CONFIRM NBR	4.469	56.3800	251.97
12/18/2018	12/17/2018	TRAK Fund Solu...	LT Cap Gain Distributi	NEUBERGER BERMAN GENESIS INST			219.39
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	ARTISAN MID CAP ADV CONFIRM NBR	5.363	38.9200	208.73
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	IVY LARGE CAP GROWTH I CONFIRM NBR	8.639	23.2700	201.03
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	INVESCO DEVELOPING MARKETS Y CONFIRM NBR	5.360	37.3300	200.09
12/17/2018	12/14/2018	TRAK Fund Solu...	LT Cap Gain Distributi	JOHN HANCOCK INTL GROWTH I			172.91
12/28/2018	12/27/2018	TRAK Fund Solu...	Dividend	HARTFORD INFLATION PLUS I DIV PAYMENT			162.48
04/02/2018	04/02/2018	TRAK Fund Solu...	Sold	MATTHEWS ASIAN JAPAN INV CONFIRM NBR	6.295	24.9100	156.82
12/19/2018	12/18/2018	TRAK Fund Solu...	LT Cap Gain Distributi	PGIM QMA MID-CAP VALUE Z			156.76